Subpart A—General

§ 173.1 Purpose and scope.

- (a) This part includes:
- (1) Definitions of hazardous materials for transportation purposes;
- (2) Requirements to be observed in preparing hazardous materials for shipment by air, highway, rail, or water, or any combination thereof; and
- (3) Inspection, testing, and retesting responsibilities for persons who retest, recondition, maintain, repair and rebuild containers used or intended for use in the transportation of hazardous materials.
- (b) A shipment of hazardous materials that is not prepared in accordance with this subchapter may not be offered for transportation by air, highway, rail, or water. It is the responsibility of each hazmat employer subject to the requirements of this subchapter to ensure that each hazmat employee is trained in accordance with the requirements prescribed in this subchapter. It is the duty of each person who offers hazardous materials for transportation to instruct each of his officers, agents, and employees having any responsibility for preparing hazardous materials for shipment as to applicable regulations in this subchapter.
- (c) In general, the Hazardous Materials Regulations (HMR) contained in this subchapter are based on the UN Recommendations and are consistent with international regulations issued by the International Civil Aviation Organization (ICAO Technical Instructions) and the International Maritime Organization (IMDG Code). However, the HMR are not consistent in all respects with the UN Recommendations, the ICAO Technical Instructions or the IMDG Code, and compliance with the HMR will not guarantee acceptance by regulatory bodies outside of the United States.

[Amdt. 173–94, 41 FR 16062, Apr. 15, 1976, as amended by Amdt. 173–100, 41 FR 40476, Sept. 20, 1976; Amdt. 173–161, 48 FR 2655, Jan. 20, 1983; Amdt. 173–224, 55 FR 52606, Dec. 21, 1990; Amdt. 173–231, 57 FR 20953, May 15, 1992; 64 FR 10776, Mar. 5, 1999; 68 FR 61941, Oct. 30, 2003]

§ 173.2 Hazardous materials classes and index to hazard class definitions.

The hazard class of a hazardous material is indicated either by its class (or division) number, its class name, or by the letters "ORM-D". The following table lists class numbers, division numbers, class or division names and those sections of this subchapter which contain definitions for classifying hazardous materials, including forbidden materials.

Class No.	Division No. (if any)	Name of class or division	49 CFR reference for definitions				
None		Forbidden materials	173.21				
None		Forbidden explosives	173.54				
1	1.1	Explosives (with a mass explosion hazard)	173.50				
1	1.2	Explosives (with a projection hazard)	173.50				
1	1.3	Explosives (with predominately a fire hazard)	173.50				
1	1.4	Explosives (with no significant blast hazard)	173.50				
1	1.5	Very insensitive explosives; blasting agents	173.50				
1	1.6	Extremely insensitive detonating substances	173.50				
2	2.1	Flammable gas	173.115				
2	2.2	Non-flammable compressed gas	173.115				
2	2.3	Poisonous gas	173.115				
3		Flammable and combustible liquid	173.120				
4	4.1	Flammable solid	173.124				
4	4.2	Spontaneously combustible material	173.124				
4	4.3	Dangerous when wet material	173.124				
5	5.1	Oxidizer	173.127				
5	5.2	Organic peroxide	173.128				
6	6.1	Poisonous materials	173.132				
6	6.2	Infectious substance (Etiologic agent)	173.134				
7		Radioactive material	173.403				
8		Corrosive material	173.136				
9		Miscellaneous hazardous material	173.140				
None		Other regulated material: ORM-D	173.144				

[Amdt. 173-224, 55 FR 52606, Dec. 21, 1990, as amended at 57 FR 45460, Oct. 1, 1992; Amdt. 173-234, 58 FR 51531, Oct. 1, 1993]

§ 173.2a Classification of a material having more than one hazard.

(a) Classification of a material having more than one hazard. Except as provided in paragraph (c) of this section, a material not specifically listed in the §172.101 table that meets the definition of more than one hazard class or division as defined in this part, shall be classed according to the highest applicable hazard class of the following hazard classes, which are listed in descending order of hazard:

- (1) Class 7 (radioactive materials, other than limited quantities).
- (2) Division 2.3 (poisonous gases).
- (3) Division 2.1 (flammable gases).
- (4) Division 2.2 (nonflammable gases).
- (5) Division 6.1 (poisonous liquids), Packing Group I, poisonous-by-inhalation only.
- (6) A material that meets the definition of a pyrophoric material in §173.124(b)(1) of this subchapter (Division 4.2).
- (7) A material that meets the definition of a self-reactive material in §173.124(a)(2) of this subchapter (Division 4.1).
- (8) Class 3 (flammable liquids), Class 8 (corrosive materials), Division 4.1 (flammable solids), Division 4.2 (spontaneously combustible materials), Division 4.3 (dangerous when wet materials), Division 5.1 (oxidizers) or Division 6.1 (poisonous liquids or solids other than Packing Group I, poisonous-by-inhalation). The hazard class and packing group for a material meeting more than one of these hazards shall be determined using the precedence table in paragraph (b) of this section.
- (9) Combustible liquids.
- (10) Class 9 (miscellaneous hazardous materials).
- (b) Precedence of hazard table for Classes 3 and 8 and Divisions 4.1, 4.2, 4.3, 5.1 and 6.1. The following table ranks those materials that meet the definition of Classes 3 and 8 and Divisions 4.1, 4.2, 4.3, 5.1 and 6.1:

Precedence of Hazard Table

[Hazard class or division and packing group]

4.2	4.3	5.1 I ¹	5.1 II ¹	5.1 III ¹	6.1, I dermal	l ′ I		6.1 III	8, I liquid	8, I solid	8, II liquid	8, II solid	8, III liquid	8, III solid
	4.3							==						==
	4.3			İ	3	3	3	3	8	(3)	3	(3)	3	(3)
	4.3			j	6.1	6.1	6.1	34	8	(3)	8	(3)	3	(3)
4.2	4.3	5.1	4.1	4.1	6.1	6.1	4.1	4.1	(3)	8	(3)	4.1	(3)	4.1
4.2	4.3	5.1	4.1	4.1	6.1	6.1	6.1	4.1	(3)	8	(3)	8	(3)	4.1
	4.3	5.1	4.2	4.2	6.1	6.1	4.2	4.2	8	8	4.2	4.2	4.2	4.2
	4.3	5.1	5.1	4.2	6.1	6.1	6.1	4.2	8	8	8	8	4.2	4.2
		5.1	4.3	4.3	6.1	4.3	4.3	4.3	4.3	4.3	4.3	4.3	4.3	4.3
		5.1	4.3	4.3	6.1	4.3	4.3	4.3	8	8	4.3	4.3	4.3	4.3
		5.1	5.1	4.3	6.1	6.1	6.1	4.3	8	8	8	8	4.3	4.3
					5.1	5.1	5.1	5.1	5.1	5.1	5.1	5.1	5.1	5.1
					6.1	5.1	5.1	5.1	8	8	5.1	5.1	5.1	5.1
					6.1	6.1	6.1	5.1	8	8	8	8	5.1	5.1
									8	6.1	6.1	6.1	6.1	6.1
									8	6.1	6.1	6.1	6.1	6.1
	4.2	4.3 4.3 4.3 4.2 4.3 4.2 4.3 4.3	4.2 4.3 I¹ 4.3 4.3 4.3 5.1 4.2 4.3 5.1 4.3 5.1 4.3 5.1 5.1 5.1	4.2 4.3 I¹ II¹ 4.3 4.3 4.2 4.3 5.1 4.1 4.2 4.3 5.1 4.1 4.3 5.1 4.2 4.3 5.1 5.1 5.1 4.3 5.1 4.3 5.1 4.3 5.1 4.3	4.2 4.3 I¹ II¹ III¹ 4.3 4.3 4.2 4.3 5.1 4.1 4.1 4.2 4.3 5.1 4.1 4.1 4.3 5.1 4.2 4.2 4.3 5.1 5.1 4.2 5.1 4.3 4.3 5.1 4.3 4.3 5.1 4.3 4.3	4.2 4.3 I¹ II¹ III¹ dermal 4.3 4.3 3 4.3 5.1 4.1 4.1 6.1 4.2 4.3 5.1 4.1 4.1 6.1 4.2 4.3 5.1 4.1 4.1 6.1 4.3 5.1 4.2 4.2 6.1 4.3 5.1 5.1 4.2 6.1 5.1 4.3 4.3 6.1 5.1 4.3 4.3 6.1 5.1 5.1 4.3 6.1 5.1 5.1 4.3 6.1	4.2 4.3 I¹ III¹ III¹ dermal oral 4.3 3 3 3 3 4.3 6.1 6.1 6.1 4.2 4.3 5.1 4.1 4.1 6.1 6.1 4.2 4.3 5.1 4.1 4.1 6.1 6.1 4.3 5.1 4.2 4.2 6.1 6.1 4.3 5.1 5.1 4.2 6.1 6.1 5.1 4.3 4.3 6.1 4.3 5.1 4.3 4.3 6.1 4.3 5.1 5.1 4.3 6.1 6.1 5.1 5.1 4.3 6.1 6.1 5.1 5.1 4.3 6.1 6.1 5.1 5.1 4.3 6.1 6.1 5.1 5.1 5.1 5.1 5.1	4.2 4.3 I¹ II¹ III¹ dermal oral oral oral oral oral oral oral or	4.2 4.3 I¹ II¹ III¹ dermal oral II III 4.3 4.3 4 4 4 1 6 1 6 1 4 1 4 1 4 1 4 4 4 4 4 4 4 4 4 4 4 </td <td>4.2 4.3 I¹ II¹ III¹ dermal oral II III liquid 4.3 4.3 3 3 3 3 3 3 3 3 3 3 3 3 3 8 8 4.2 4.3 5.1 4.1 4.1 6.1 6.1 6.1 3.4 8 8 4.2 4.3 5.1 4.1 4.1 6.1 6.1 6.1 4.1 4.1 (3) 3 3 3 8 8 8 4.2 4.3 6.1 6.1 6.1 4.1 4.1 (3) 4.2 4.2 6.1 6.1 6.1 4.2 4.2 8 8 8 4.3 4.3 4.3 6.1 6.1 6.1 4.2 4.2 8 8 8 8 5.1 4.3 4.3 6.1 4.3 4.3 4.3 8 8 8 5.1 5.1 5.1 5.1 5.</td> <td>4.2 4.3 I¹ II¹ III¹ dermal oral II III liquid solid 4.3 3</td> <td>4.2 4.3 I¹ II¹ III¹ dermal oral II III liquid solid liquid 4.3 4.3 8 3 3 8 8 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3</td> <td>4.2 4.3 I¹ II¹ III¹ dermal oral II III liquid solid liquid solid 4.3 4.3 4.3 4.3 3</td> <td>4.2 4.3 I¹ II¹ III¹ dermal oral II III liquid solid liquid solid liquid 4.3 4.3 4.3 4.3 3</td>	4.2 4.3 I¹ II¹ III¹ dermal oral II III liquid 4.3 4.3 3 3 3 3 3 3 3 3 3 3 3 3 3 8 8 4.2 4.3 5.1 4.1 4.1 6.1 6.1 6.1 3.4 8 8 4.2 4.3 5.1 4.1 4.1 6.1 6.1 6.1 4.1 4.1 (3) 3 3 3 8 8 8 4.2 4.3 6.1 6.1 6.1 4.1 4.1 (3) 4.2 4.2 6.1 6.1 6.1 4.2 4.2 8 8 8 4.3 4.3 4.3 6.1 6.1 6.1 4.2 4.2 8 8 8 8 5.1 4.3 4.3 6.1 4.3 4.3 4.3 8 8 8 5.1 5.1 5.1 5.1 5.	4.2 4.3 I¹ II¹ III¹ dermal oral II III liquid solid 4.3 3	4.2 4.3 I¹ II¹ III¹ dermal oral II III liquid solid liquid 4.3 4.3 8 3 3 8 8 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	4.2 4.3 I¹ II¹ III¹ dermal oral II III liquid solid liquid solid 4.3 4.3 4.3 4.3 3	4.2 4.3 I¹ II¹ III¹ dermal oral II III liquid solid liquid solid liquid 4.3 4.3 4.3 4.3 3

6.1 II, Inhalation	8	6.1	6.1	6.1	6.1	6.1
6.1 II, Dermal	8	6.1	8	6.1	6.1	6.1
6.1 II, Oral	8	8	8	6.1	6.1	6.1
6.1 III	8	8	8	8	8	8

¹See §173.127.

²Materials of Division 4.1 other than self-reactive substances and solid desensitized explosives, and materials of Class 3 other than liquid desensitized explosives.

³Denotes an impossible combination.

⁴For pesticides only, where a material has the hazards of Class 3, Packing Group III, and Division 6.1, Packing Group III, the primary hazard is Division 6.1, Packing Group III.

Note 1: The most stringent packing group assigned to a hazard of the material takes precedence over other packing groups; for example, a material meeting Class 3 PG II and Division 6.1 PG I (oral toxicity) is classified as Class 3 PG I.

Note 2: A material which meets the definition of Class 8 and has an inhalation toxicity by dusts and mists which meets criteria for Packing Group I specified in §173.133(a)(1) must be classed as Division 6.1 if the oral or dermal toxicity meets criteria for Packing Group II or II. If the oral or dermal toxicity meets criteria for Packing Group III or less, the material must be classed as Class 8.

- (c) The following materials are not subject to the provisions of paragraph (a) of this section because of their unique properties:
- (1) A Class 1 (explosive) material that meets any other hazard class or division as defined in this part shall be assigned a division in Class 1. Class 1 materials shall be classed and approved in accordance with §173.56 of this part;
- (2) A Division 5.2 (organic peroxide) material that meets the definition of any other hazard class or division as defined in this part, shall be classed as Division 5.2;
- (3) A Division 6.2 (infectious substance) material that also meets the definition of another hazard class or division, other than Class 7, or that also is a limited quantity Class 7 material, shall be classed as Division 6.2;
- (4) A material that meets the definition of a wetted explosive in §173.124(a)(1) of this subchapter (Division 4.1). Wetted explosives are either specifically listed in the §172.101 table or are approved by the Associate Administrator (see §173.124(a)(1) of this subchapter); and
- (5) A limited quantity of a Class 7 (radioactive) material that meets the definition for more than one hazard class or division shall be classed in accordance with §173.423.

[Amdt. 173–224, 55 FR 52606, Dec. 21, 1990, as amended at 56 FR 66264, Dec. 20, 1991; Amdt. 173–241, 59 FR 67490, Dec. 29, 1994; Amdt. 173–247, 60 FR 48787, Sept. 20, 1995; Amdt. 173–244, 60 FR 50307, Sept. 28, 1995; 64 FR 10776, Mar. 5, 1999; 66 FR 33426, June 21, 2001; 66 FR 45182, 45379, Aug. 28, 2001; 68 FR 45032, July 31, 2003]

§ 173.3 Packaging and exceptions.

(a) The packaging of hazardous materials for transportation by air, highway, rail, or water must be as specified in this part. Methods of manufacture, packing, and storage of hazardous materials, that affect safety in transportation, must be open to inspection by a duly

authorized representative of the initial carrier or of the Department. Methods of manufacture and related functions necessary for completion of a DOT specification or U.N. standard packaging must be open to inspection by a representative of the Department.

- (b) The regulations setting forth packaging requirements for a specific material apply to all modes of transportation unless otherwise stated, or unless exceptions from packaging requirements are authorized.
- (c) Salvage drums. Packages of hazardous materials that are damaged, defective, or leaking; packages found to be not conforming to the requirements of this subchapter after having been placed in transportation; and, hazardous materials that have spilled or leaked may be placed in a metal or plastic removable head salvage drum that is compatible with the lading and shipped for repackaging or disposal under the following conditions:
- (1) Except as provided in paragraph (c)(7) of this section, the drum must be a UN 1A2, 1B2, 1N2 or 1H2 tested and marked for Packing Group III or higher performance standards for liquids or solids and a leakproofness test of 20 kPa (3 psig). Alternatively, a drum manufactured and marked prior to October 1, 1993 as a salvage drum, in accordance with the provisions of this section in effect on September 30, 1991, is authorized. Capacity of the drum may not exceed 450 L (119 gallons).
- (2) Each drum shall be provided when necessary with sufficient cushioning and absorption material to prevent excessive shifting of the damaged package and to eliminate the presence of any free liquid at the time the salvage drum is closed. All cushioning and absorbent material used in the drum must be compatible with the hazardous material.
- (3) Each salvage packaging must be marked with the proper shipping name of the hazardous material inside the packaging and the name and address of the consignee. In addition, the packaging must be marked "SALVAGE" or "SALVAGE DRUM".
- (4) Each drum shall be labeled as prescribed for the respective material.
- (5) The shipper shall prepare shipping papers in accordance with subpart C of part 172 of this subchapter.
- (6) The overpack requirements of §173.25 do not apply to drums used in accordance with this paragraph.
- (7) A salvage packaging marked "T" in accordance with applicable provisions in the UN Recommendations may be used.
- (d) Salvage cylinders. Cylinders of hazardous materials that are damaged or leaking may be overpacked in a non-DOT specification full opening hinged head or fully removable head steel salvage cylinder under the following conditions:
- (1) Only a cylinder containing a Division 2.1, 2.2, 2.3, 3, 6.1, or a Class 8 material may be overpacked in a salvage cylinder. A cylinder containing acetylene may not be overpacked in a salvage cylinder.
- (2) Each salvage cylinder—
- (i) Must be designed, constructed and marked in accordance with Section VIII, Division I of the ASME Code (IBR, see §171.7 of this subchapter) with a minimum design margin of 4 to 1. Salvage cylinders may not be equipped with a pressure relief device. Damaged cylinders must be securely positioned in the salvage cylinder to prevent excessive movement. The overpack requirements of §173.25 of this part do not apply to salvage cylinders used in accordance with this section.
- (ii) Must have a maximum water capacity of 450 L (119 gallons).
- (iii) Except for liquefied nitrous oxide and carbon dioxide, contents of the damaged or leaking cylinder must be limited in pressure and volume so that if totally discharged into the salvage cylinder, the pressure in the salvage cylinder will not exceed5/4of the MAWP at 55 ° C (131 °F).
- (iv) Must have gaskets, valves and fittings that are compatible with the hazardous materials contained within.
- (3) Each salvage cylinder must be plainly and durably marked. Unless otherwise specified, the markings below must be in the same area on any portion of the upper end:
- (i) The proper shipping name of the hazardous material contained inside the packaging;

- (ii) The name and address of the consignee or consignor;
- (iii) The name and address or registered symbol of the manufacturer; and
- (iv) The words "SALVAGE CYLINDER" in letters at least 50 mm (2.0 inches) high on opposite sides near the middle of the cylinder; stamping on the sidewall is not authorized.
- (4) Each salvage cylinder must be labeled for the hazardous material contained inside the packaging.
- (5) The shipper must prepare shipping papers in accordance with subpart C of part 172 of this subchapter.
- (6) Transportation is authorized by motor vehicle only.
- (7) Each salvage cylinder must be cleaned and purged after each use.
- (8) In addition to the training requirements of §§172.700 through 172.704 of this subchapter, a person who loads, unloads or transports a salvage cylinder must be trained in handling, loading and unloading the salvage cylinder.
- (9) Cylinder Requalification: At least once every five years, each cylinder must be visually inspected (internally and externally) in accordance with CGA Pamphlet C–6 (IBR, see §171.7 of this subchapter) and pressure tested. A minimum test pressure of at least 11/2times MAWP must be maintained for at least 30 seconds. The cylinder must be examined under test pressure and removed from service if a leak or a defect is found.
- (i) The retest and inspection must be performed by a person familiar with salvage cylinders and trained and experienced in the use of the inspection and testing equipment.
- (ii) Each salvage cylinder that is successfully requalified must be durably and legibly marked with the word "Tested" followed by the requalification date (month/year), e.g., "Tested 9/04." The marking must be in letters and numbers at least 12 mm (0.5 inches) high. The requalification marking may be placed on any portion of the upper end of the cylinder near the marking required in (d)(3) of this section or on a metal plate permanently secured to the cylinder. Stamping on the cylinder sidewall is not authorized.
- (10) Record retention: The owner of each salvage cylinder or his authorized agent shall retain a record of the most recent visual inspection and pressure test until the salvage cylinder is requalified. The records must be made available to a DOT representative upon request.
- (e) Emergency transportation of DOT 3A480 or 3A480 cylinders and DOT 106A500 multi-unit tank car tanks. (1) A DOT 3A480 or DOT 3AA480 cylinder containing chlorine or sulphur dioxide that has developed a leak in a valve or fusible plug may be repaired temporarily by trained personnel using a Chlorine Institute Kit "A" (IBR, see §171.7 of this subchapter). The repaired cylinder is authorized to be transported by private or contract carrier one time, one way, from the point of discovery to a proper facility for discharge and examination.
- (2) A DOT 106A500 multi-unit tank car tank containing chlorine or sulphur dioxide that has developed a leak in the valve or fusible plug may be temporarily repaired by trained personnel using a Chlorine Institute Kit "B" (IBR, see §171.7 of this subchapter). The repaired tank is authorized to be transported by private or contract carrier one time, one way, from the point of discovery to a proper facility for discharge and examination.
- (3) Training for personnel making the repairs in paragraphs (d)(1) and (d)(2) of this section must include:
- (i) Proper use of the devices and tools in the applicable kits;
- (ii) Use of respiratory equipment and all other safety equipment; and
- (iii) Knowledge of the properties of chlorine and sulphur dioxide.
- (4) Packagings repaired with "A" or "B" kits must be properly blocked and braced to ensure the packagings are secured in the transport

vehicle.

[Amdt. 173–224, 55 FR 52607, Dec. 21, 1990, as amended at 56 FR 66265, Dec. 20, 1991; Amdt. 173–234, 58 FR 51531, Oct. 1, 1993; Amdt. 173–261, 62 FR 24719, May 6, 1997; 66 FR 45380, Aug. 28, 2001; 68 FR 48569, Aug. 14, 2003; 69 FR 76154, Dec. 20, 2004; 70 FR 3307, Jan. 24, 2005; 68 FR 61941, Oct. 30, 2003; 70 FR 34397, June 14, 2005; 70 FR 56098, Sept. 23, 2005]

§ 173.4 Small quantity exceptions.

- (a) Small quantities of Class 3, Division 4.1, Division 4.2 (PG II and III), Division 4.3 (PG II and III), Division 5.1, Division 5.2, Division 6.1, Class 7, Class 8, and Class 9 materials that also meet the definition of one or more of these hazard classes, are not subject to any other requirements of this subchapter when—
- (1) The maximum quantity of material per inner receptacle or article is limited to—
- (i) Thirty (30) mL (1 ounce) for authorized liquids, other than Division 6.1, Packing Group I, Hazard Zone A or B materials;
- (ii) Thirty (30) g (1 ounce) for authorized solid materials;
- (iii) One (1) g (0.04 ounce) for authorized materials meeting the definition of a Division 6.1, Packing Group I, Hazard Zone A or B material; and
- (iv) An activity level not exceeding that specified in §§173.421, 173.424, 173.425 or 173.426, as appropriate, for a package containing a Class 7 (radioactive) material.
- (2) With the exception of temperature sensing devices, each inner receptacle:
- (i) Is not liquid-full at 55 °C (131 °F), and
- (ii) Is constructed of plastic having a minimum thickness of no less than 0.2 mm (0.008 inch), or earthenware, glass, or metal;
- (3) Each inner receptacle with a removable closure has its closure held securely in place with wire, tape, or other positive means;
- (4) Unless equivalent cushioning and absorbent material surrounds the inside packaging, each inner receptacle is securely packed in an inside packaging with cushioning and absorbent material that:
- (i) Will not react chemically with the material, and
- (ii) Is capable of absorbing the entire contents (if a liquid) of the receptacle;
- (5) The inside packaging is securely packed in a strong outside packaging;
- (6) The completed package, as demonstrated by prototype testing, is capable of sustaining—
- (i) Each of the following free drops made from a height of 1.8 m (5.9 feet) directly onto a solid unyielding surface without breakage or leakage from any inner receptacle and without a substantial reduction in the effectiveness of the package:
- (A) One drop flat on bottom;
- (B) One drop flat on top;

- (C) One drop flat on the long side;
- (D) One drop flat on the short side; and
- (E) One drop on a corner at the junction of three intersecting edges; and
- (ii) A compressive load as specified in §178.606(c) of this subchapter.

Note to paragraph(a)(6): Each of the tests in paragraph (a)(6) of this section may be performed on a different but identical package; *i.e.*, all tests need not be performed on the same package.

- (7) Placement of the material in the package or packing different materials in the package does not result in a violation of §173.21;
- (8) The gross mass of the completed package does not exceed 29 kg (64 pounds);
- (9) The package is not opened or otherwise altered until it is no longer in commerce; and
- (10) The shipper certifies conformance with this section by marking the outside of the package with the statement "This package conforms to 49 CFR 173.4."
- (11) For transportation by aircraft:
- (i) The hazardous material must be authorized to be carried aboard passenger-carrying aircraft;
- (ii) The hazardous material is not authorized to be carried in checked or carry-on baggage.
- (b) A package containing a Class 7 (radioactive) material also must conform to the requirements of §173.421(a)(1) through (a)(5) or §173.424(a) through (g), as appropriate.
- (c) Packages which contain a Class 2, Division 4.2 (PG I), or Division 4.3 (PG I) material conforming to paragraphs (a)(1) through (a) (10) of this section may be offered for transportation or transported if specifically approved by the Associate Administrator.
- (d) Lithium batteries and cells are not eligible for the exceptions provided in this section.

[Amdt. 173–224, 55 FR 52608, Dec. 21, 1990, as amended at 56 FR 66265, Dec. 20, 1991; Amdt. 173–234, 58 FR 51531, Oct. 1, 1993; Amdt. 173–244, 60 FR 50307, Sept. 28, 1995; Amdt. 173–253, 61 FR 27173, May 30, 1996; 65 FR 50460, Aug. 18, 2000; 65 FR 58628, Sept. 29, 2000; 66 FR 33426, June 21, 2001; 66 FR 45183, 45379, 45381, Aug. 28, 2001; 67 FR 61013, Sept. 27, 2002; 69 FR 75216, Dec. 15, 2004; 70 FR 56098, Sept. 23, 2005; 71 FR 14602, Mar. 22, 2006]

§ 173.5 Agricultural operations.

- (a) For other than a Class 2 material, the transportation of an agricultural product over local roads between fields of the same farm is excepted from the requirements of this subchapter. A Class 2 material transported over local roads between fields of the same farm is excepted from subparts G and H of part 172 of this subchapter. In either instance, transportation of the hazardous material is subject to the following conditions:
- (1) It is transported by a farmer who is an intrastate private motor carrier; and
- (2) The movement of the agricultural product conforms to requirements of the State in which it is transported and is specifically authorized by a State statute or regulation in effect before October 1, 1998.

- (b) The transportation of an agricultural product to or from a farm, within 150 miles of the farm, is excepted from the requirements in subparts G and H of part 172 of this subchapter and from the specific packaging requirements of this subchapter when:
- (1) It is transported by a farmer who is an intrastate private motor carrier;
- (2) The total amount of agricultural product being transported on a single vehicle does not exceed:
- (i) 7,300 kg (16,094 lbs.) of ammonium nitrate fertilizer properly classed as Division 5.1, PG III, in a bulk packaging, or
- (ii) 1900 L (502 gallons) for liquids or gases, or 2,300 kg (5,070 lbs.) for solids, of any other agricultural product;
- (3) The movement and packaging of the agricultural product conform to the requirements of the State in which it is transported and are specifically authorized by a State statute or regulation in effect before October 1, 1998; and
- (4) Each person having any responsibility for transporting the agricultural product or preparing the agricultural product for shipment has been instructed in the applicable requirements of this subchapter.
- (c) Formulated liquid agricultural products in specification packagings of 220 L (58 gallons) capacity, or less, with closures manifolded to a closed mixing system and equipped with positive dry disconnect devices may be transported by a private motor carrier between a final distribution point and an ultimate point of application or for loading aboard an airplane for aerial application.
- (d) See §173.315(m) pertaining to nurse tanks of anhydrous ammonia.
- (e) See §173.6 pertaining to materials of trade.
- (f) See §172.800(b) pertaining to security plans.

[Amdt. 173–259, 62 FR 1215, Jan. 8, 1997, as amended by Amdt. 173–262, 62 FR 49566, Sept. 22, 1997; Amdt. 173–259, 63 FR 8142, Feb. 18, 1998; 65 FR 50460, Aug. 18, 2000; 70 FR 73165, Dec. 9, 2005]

§ 173.5a Oilfield service vehicles and mechanical displacement meter provers.

- (a) Oilfield service vehicles. Notwithstanding §173.29 of this subchapter, a cargo tank motor vehicle used in oilfield servicing operations is not subject to the specification requirements of this subchapter provided—
- (1) The cargo tank and equipment contains only residual amounts (*i.e.* , it is emptied so far as practicable) of a flammable liquid alone or in combination with water,
- (2) No flame producing device is operated during transportation, and
- (3) The proper shipping name is preceded by "RESIDUE: LAST CONTAINED * * * *" on the shipping paper for each movement on a public highway.
- (b) Mechanical displacement meter provers. (1) For purposes of this section, a mechanical displacement meter prover is a mechanical device, permanently mounted on a truck chassis or trailer and transported by motor vehicle, consisting of a pipe assembly that is used to calibrate the accuracy and performance of meters that measure the quantity of a product being pumped or transferred at facilities such as drilling locations, refineries, tank farms and loading racks.
- (2) A mechanical displacement meter prover is excepted from the specification packaging requirements in part 178 of this subchapter provided it—
- (i) Contains only the residue of a Class 3 or Division 2.1 material. For liquids, the meter prover must be drained to the maximum extent

practicable and may not exceed 10% of its capacity; for gases, the meter prover must not exceed 25% of the marked pressure rating;

- (ii) Has a water capacity of 3,785 L (1,000 gallons) or less;
- (iii) Is designed and constructed in accordance with chapters II, III, IV, V and VI of the ASME Standard B31.4 (IBR, see §171.7 of this subchapter);
- (iv) Is marked with the maximum service pressure determined from the pipe component with the lowest pressure rating; and
- (v) Is equipped with rear-end protection as prescribed in §178.337–10(c) of this subchapter and with 49 CFR 393.86 of the Federal Motor Carrier Safety Regulations.
- (3) The description on the shipping paper for a meter prover containing the residue of a hazardous material must include the phrase "RESIDUE: LAST CONTAINED * * * " before the basic description.
- (4) Periodic test and inspection. (i) Each meter prover must be externally visually inspected once a year. The external visual inspection must include at a minimum: checking for leakage, defective fittings and welds, defective closures, significant dents and other defects or abnormalities which indicate a potential or actual weakness that could render the meter prover unsafe for transportation; and
- (ii) Each meter prover must be pressure tested once every 5 years at not less than 75% of design pressure. The pressure must be held for a period of time sufficiently long to assure detection of leaks, but in no case less than 5 minutes.
- (5) In addition to the training requirements in subpart H, the person who performs the visual inspection or pressure test and/or signs the inspection report must have the knowledge and ability to perform them as required by this section.
- (6) A meter prover that fails the periodic test and inspection, must be rejected and removed from hazardous materials service unless the meter prover is adequately repaired, and thereafter, a successful test is conducted in accordance with the requirements of this section.
- (7) Prior to any repair work, the meter prover must be emptied of any hazardous material. A meter prover containing flammable lading must be purged.
- (8) Each meter prover successfully completing the external visual inspection and the pressure test must be marked with the test date (month/year), the type of test or inspection as follows:
- (i) V for external visual inspection; and
- (ii) P for pressure test.
- The marking must be on the side of a tank or the largest piping component in letters 32 mm (1.25 inches) high on a contrasting background.
- (9) The owner must retain a record of the most recent external visual inspection and pressure test until the next test or inspection of the same type successfully completed. The test or inspection report must include the following:
- (i) Serial number or other meter prover identifier;
- (ii) Type of test or inspection performed;
- (iii) Test date (month/year):
- (iv) Location of defects found, if any, and method used to repair each defect;
- (v) Name and address of person performing the test or inspection;

(vi) Disposition statement, such as "Meter Prover returned to service" or "Meter Prover removed from service".

[70 FR 3308, Jan. 24, 2005]

§ 173.6 Materials of trade exceptions.

When transported by motor vehicle in conformance with this section, a material of trade (see §171.8 of this subchapter) is not subject to any other requirements of this subchapter besides those set forth or referenced in this section.

- (a) Materials and amounts. A material of trade is limited to the following:
- (1) A Class 3, 8, 9, Division 4.1, 5.1, 5.2, 6.1, or ORM-D material contained in a packaging having a gross mass or capacity not over—
- (i) 0.5 kg (1 pound) or 0.5 L (1 pint) for a Packing Group I material;
- (ii) 30 kg (66 pounds) or 30 L (8 gallons) for a Packing Group II, Packing Group III, or ORM-D material;
- (iii) 1500 L (400 gallons) for a diluted mixture, not to exceed 2 percent concentration, of a Class 9 material.
- (2) A Division 2.1 or 2.2 material in a cylinder with a gross weight not over 100 kg (220 pounds), or a permanently mounted tank manufactured to the ASME Code of not more than 70 gallon water capacity for a non-liquefied Division 2.2 material with no subsidiary hazard.
- (3) A Division 4.3 material in Packing Group II or III contained in a packaging having a gross capacity not exceeding 30 mL (1 ounce).
- (4) A Division 6.2 material, other than a Category A infectious substance, contained in human or animal samples (including, but not limited to, secreta, excreta, blood and its components, tissue and tissue fluids, and body parts) being transported for research, diagnosis, investigational activities, or disease treatment or prevention, or is a biological product or regulated medical waste. The material must be contained in a combination packaging. For liquids, the inner packaging must be leakproof, and the outer packaging must contain sufficient absorbent material to absorb the entire contents of the inner packaging. For sharps, the inner packaging (sharps container) must be constructed of a rigid material resistant to punctures and securely closed to prevent leaks or punctures, and the outer packaging must be securely closed to prevent leaks or punctures. For solids, liquids, and sharps, the outer packaging must be a strong, tight packaging securely closed and secured against shifting, including relative motion between packages, within the vehicle on which it is being transported.
- (i) For other than a regulated medical waste, the amount of Division 6.2 material in a combination packaging must conform to the following limitations:
- (A) One or more inner packagings, each of which may not contain more than 0.5 kg (1.1 lbs) or 0.5 L (17 ounces), and an outer packaging containing not more than 4 kg (8.8 lbs) or 4 L (1 gallon); or
- (B) A single inner packaging containing not more than 16 kg (35.2 lbs) or 16 L (4.2 gallons) in a single outer packaging.
- (ii) For a regulated medical waste, a combination packaging must consist of one or more inner packagings, each of which may not contain more than 4 kg (8.8 lbs) or 4 L (1 gallon), and an outer packaging containing not more than 16 kg (35.2 lbs) or 16 L (4.2 gallons).
- (5) This section does not apply to a hazardous material that is self-reactive (see §173.124), poisonous by inhalation (see §173.133), or a hazardous waste.
- (b) *Packaging*. (1) Packagings must be leak tight for liquids and gases, sift proof for solids, and be securely closed, secured against shifting, and protected against damage.
- (2) Each material must be packaged in the manufacturer's original packaging, or a packaging of equal or greater strength and integrity.

- (3) Outer packagings are not required for receptacles (e.g., cans and bottles) that are secured against shifting in cages, carts, bins, boxes or compartments.
- (4) For gasoline, a packaging must be made of metal or plastic and conform to the requirements of this subchapter or to the requirements of the Occupational Safety and Health Administration of the Department of Labor contained in 29 CFR 1910.106(d)(2) or 1926.152(a)(1).
- (5) A cylinder or other pressure vessel containing a Division 2.1 or 2.2 material must conform to packaging, qualification, maintenance, and use requirements of this subchapter, except that outer packagings are not required. Manifolding of cylinders is authorized provided all valves are tightly closed.
- (c) Hazard communication. (1) A non-bulk packaging other than a cylinder (including a receptacle transported without an outer packaging) must be marked with a common name or proper shipping name to identify the material it contains, including the letters "RQ" if it contains a reportable quantity of a hazardous substance.
- (2) A bulk packaging containing a diluted mixture of a Class 9 material must be marked on two opposing sides with the four-digit identification number of the material. The identification number must be displayed on placards, orange panels or, alternatively, a white square-on-point configuration having the same outside dimensions as a placard (at least 273 mm (10.8 inches) on a side), in the manner specified in §172.332 (b) and (c) of this subchapter.
- (3) A DOT specification cylinder (except DOT specification 39) must be marked and labeled as prescribed in this subchapter. Each DOT-39 cylinder must display the markings specified in 178.65(i).
- (4) The operator of a motor vehicle that contains a material of trade must be informed of the presence of the hazardous material (including whether the package contains a reportable quantity) and must be informed of the requirements of this section.
- (d) Aggregate gross weight. Except for a material of trade authorized by paragraph (a)(1)(iii) of this section, the aggregate gross weight of all materials of trade on a motor vehicle may not exceed 200 kg (440 pounds).
- (e) Other exceptions. A material of trade may be transported on a motor vehicle under the provisions of this section with other hazardous materials without affecting its eligibility for exceptions provided by this section.

[Amdt. 173–259, 62 FR 1216, Jan. 8, 1997, as amended by Amdt. 173–262, 62 FR 49566, Sept. 22, 1997; 62 FR 51560, Oct. 1, 1997; Amdt. 173–259, 63 FR 8142, Feb. 18, 1998; 63 FR 52849, Oct. 1, 1998; 66 FR 45381, Aug. 28, 2001; 67 FR 53137, Aug. 14, 2002; 68 FR 75742, Dec. 31, 2003; 68 FR 61941, Oct. 30, 2003; 71 FR 32258, June 2, 2006; 72 FR 55692, Oct. 1, 2007]

§ 173.7 Government operations and materials.

- (a) Hazardous materials offered for transportation by, for, or to the Department of Defense (DOD) of the U.S. Government, including commercial shipments pursuant to a DOD contract, must be packaged in accordance with the regulations in this subchapter or in packagings of equal or greater strength and efficiency as certified by DOD in accordance with the procedures prescribed by "Packaging of Hazardous Material, DLAD 4145.41/AR 700–143/AFJI 24–210/NAVSUPINST 4030.55B/MCO 4030.40B (IBR, see §171.7 of this subchapter)." Hazardous materials offered for transportation by DOD under this provision may be reshipped by any shipper to any consignee provided the original packaging has not been damaged or altered in any manner.
- (1) Hazardous materials sold by the DOD in packagings that are not marked in accordance with the requirements of this subchapter may be shipped from DOD installations if the DOD certifies in writing that the packagings are equal to or greater in strength and efficiency than the packaging prescribed in this subchapter. The shipper shall obtain such a certification in duplicate for each shipment. He shall give one copy to the originating carrier and retain the other for no less than 1 year.
- (2) [Reserved]
- (b) Shipments of hazardous materials, made by or under the direction or supervision of the U.S. Department of Energy (DOE) or the

Department of Defense (DOD), for the purpose of national security, and which are escorted by personnel specifically designated by or under the authority of those agencies, are not subject to the requirements of this subchapter. For transportation by a motor vehicle or a rail car, the escorts must be in a separate transport vehicle from the transport vehicle carrying the hazardous materials that are excepted by this paragraph. A document certifying that the shipment is for the purpose of national security must be in the possession of the person in charge of providing security during transportation.

- (c) Shipments of explosive samples, not exceeding 1 g net weight, offered by and consigned to the Bureau of Alcohol, Tobacco and Firearms (ATF) of the Department of the Treasury are not otherwise subject to the regulations in parts 110–189 of this subchapter when placed in a specifically designed multi-unit assembly packed in a strong outside packaging. The packaging must be of a type accepted by ATF as capable of precluding a propagation of any explosion outside the packaging. The second component from the outside of the packaging must be marked or tagged to indicate the presence of an explosive.
- (d) Notwithstanding the requirements of §§173.416 and 173.417 of this subchapter, packagings made by or under the direction of the U. S. Department of Energy may be used for the transportation of Class 7 materials when evaluated, approved, and certified by the Department of Energy against packaging standards equivalent to those specified in 10 CFR part 71. Packages shipped in accordance with this paragraph shall be marked and otherwise prepared for shipment in a manner equivalent to that required by this subchapter for packagings approved by the Nuclear Regulatory Commission.
- (e) Class 1 (explosive) materials owned by the Department of Defense and packaged prior to January 1, 1990, in accordance with the requirements of this subchapter in effect at that time, are excepted from the marking and labeling requirements of part 172 of this subchapter and the packaging and package marking requirements of part 178 of this subchapter, provided the packagings have maintained their integrity and the explosive material is declared as "government-owned goods packaged prior to January 1, 1990" on the shipping papers. In addition, packages of these materials owned by the Department of Defense that are marked and labeled in conformance with the requirements of the HMR that were in effect at the time they were originally marked and labeled are excepted from the current marking and labeling requirements.
- (f) The requirements of this subchapter do not apply to shipments of hazardous materials carried aboard an aircraft that is not owned by a government or engaged in carrying persons or property for commercial purposes, but is under the exclusive direction and control of the government for a period of not less than 90 days as specified in a written contract or lease. An aircraft is under the exclusive direction and control of a government when the government exercises responsibility for:
- (1) Approving crew members and determining they are qualified to operate the aircraft;
- (2) Determining the airworthiness and directing maintenance of the aircraft; and
- (3) Dispatching the aircraft, including the times of departure, airports to be used, and type and amount of cargo to be carried.

[29 FR 18671, Dec. 29, 1964. Redesignated at 32 FR 5606, Apr. 5, 1967]

Editorial Note: ForFederal Registercitations affecting §173.7, see the List of CFR Sections Affected which appears in the Finding Aids section of the printed volume and on GPO Access.

§ 173.8 Exceptions for non-specification packagings used in intrastate transportation.

- (a) *Non-specification bulk packagings*. Notwithstanding requirements for specification packagings in subpart F of this part and parts 178 and 180 of this subchapter, a non-specification bulk packaging may be used for transportation of a hazardous material by an intrastate motor carrier until July 1, 2000, in accordance with the provisions of paragraph (d) of this section.
- (b) Non-specification cargo tanks for petroleum products. Notwithstanding requirements for specification packagings in subpart F of this part and parts 178 and 180 of this subchapter, a non-specification cargo tank motor vehicle having a capacity of less than 13,250 L (3,500 gallons) may be used by an intrastate motor carrier for transportation of a flammable liquid petroleum product in accordance with the provisions of paragraph (d) of this section.
- (c) Permanently secured non-bulk tanks for petroleum products. Notwithstanding requirements for specification packagings in subpart F of this part 173 and parts 178 and 180 of this subchapter, a non-specification metal tank permanently secured to a transport vehicle and

protected against leakage or damage in the event of a turnover, having a capacity of less than 450 L (119 gallons), may be used by an intrastate motor carrier for transportation of a flammable liquid petroleum product in accordance with the provisions of paragraph (d) of this section.

- (d) Additional requirements. A packaging used under the provisions of paragraphs (a), (b) or (c) of this section must—
- (1) Be operated by an intrastate motor carrier and in use as a packaging for hazardous material before October 1, 1998;
- (2) Be operated in conformance with the requirements of the State in which it is authorized;
- (3) Be specifically authorized by a State statute or regulation in effect before October 1, 1998, for use as a packaging for the hazardous material being transported;
- (4) Be offered for transportation and transported in conformance with all other applicable requirements of this subchapter;
- (5) Not be used to transport a flammable cryogenic liquid, hazardous substance, hazardous waste, or a marine pollutant (except for gasoline); and
- (6) On and after July 1, 2000, for a tank authorized under paragraph (b) or (c) of this section, conform to all requirements in part 180 (except for §180.405(g)) of this subchapter in the same manner as required for a DOT specification MC 306 cargo tank motor vehicle.

[Amdt. 173–259, 62 FR 1216, Jan. 8, 1997, as amended by Amdt. 172–262, 62 FR 49567, Sept. 22, 1997; Amdt. 173–259, 63 FR 8142, Feb. 18, 1998; 66 FR 45380, Aug. 28, 2001]

§ 173.9 Transport vehicles or freight containers containing lading which has been fumigated.

- (a) For the purpose of this section, not including 49 CFR part 387, a rail car, freight container, truck body, or trailer in which the lading has been fumigated with any material, or is undergoing fumigation, is a package containing a hazardous material.
- (b) No person may offer for transportation or transport a rail car, freight container, truck body, or trailer in which the lading has been fumigated or treated with any material, or is undergoing fumigation, unless the FUMIGANT marking specified in paragraph (e) of this section is prominently displayed so that it can be seen by any person attempting to enter the interior of the transport vehicle or freight container. For domestic transportation, a hazard warning label authorized by EPA under 40 CFR part 156 may be used as an alternative to the FUMIGANT marking.
- (c) No person may affix or display on a rail car, freight container, truck body, or trailer the FUMIGANT marking specified in paragraph (e) of this section, unless the lading has been fumigated or is undergoing fumigation.
- (d) The FUMIGANT marking required by paragraph (b) of this section must remain on the rail car, freight container, truck body, or trailer until the rail car, freight container, truck body, or trailer has been completely ventilated either by opening the doors of the unit or by mechanical ventilation to ensure no harmful concentration of gas remains after fumigation has been completed.
- (e) FUMIGANT marking. (1) The FUMIGANT marking must consist of red or black letters on a white background that is at least 30 cm (11.8 inches) wide and at least 25 cm (9.8 inches) high. Except for size and color, the FUMIGANT marking must be as follows:



- (2) The "*" shall be replaced with the technical name of the fumigant.
- (f) A closed cargo transport unit that has been fumigated is not subject to any other provisions of this subchapter if it—
- (1) Has been completely ventilated either by opening the doors of the unit or by mechanical ventilation after fumigation, and
- (2) Displays the FUMIGANT marking, including the date of ventilation.
- (g) For international shipments, transport documents should indicate the date of fumigation, type and amount of fumigant used, and instructions for disposal of any residual fumigant, including fumigation devices.
- (h) Any person subject to the requirements of this section, solely due to the fumigated lading, must be informed of the requirements of this section and the safety precautions necessary to protect themselves and others in the event of an incident or accident involving the fumigated lading.

(i) Any person who offers for transportation or transports a rail car, freight container, truck body or trailer that is subject to this subchapter solely because of the hazardous materials designation specified in paragraph (a) of this section is not subject to any requirements of this subchapter other than those contained in this section.

[71 FR 78629, Dec. 29, 2006]

§ 173.10 Tank car shipments.

- (a) Tank cars containing any 2.1 material (including a cryogenic liquid) or Class 3 material with a flash point below 38 °C (100 °F), except liquid road asphalt or tar, may not be offered for transportation unless originally consigned or subsequently reconsigned to parties having private-siding (see Note 1 of this section) or to parties using railroad siding facilities which have been equipped for piping the liquid from tank cars to permanent storage tanks of sufficient capacity to receive contents of car.
- (b) A tank car containing any Class 2 material must not be offered for transportation unless the car is consigned for delivery (see paragraph (c) of this section) and unloading on a private track (see Note 1 of this section) except that where no private track is available, delivery and unloading on carrier tracks is permitted provided the following conditions are complied with:
- (1) Any tank car of DOT-106A or 110A type (see §§179.300 and 179.301 of this subchapter) may be offered for transportation and the loaded unit tanks may be removed from car frame on carrier tracks, provided the shipper has obtained from the delivering carrier and filed with originating carrier, written permission (see Note 2 of this section) for such removal. The consignee must furnish adequately safe mechanical hoist, obtained from the carrier if desirable, by which the tanks shall be lifted from the car and deposited directly upon vehicles furnished by the consignee for immediate removal from carrier property or tanks must be lifted by adequately safe mechanical hoist from car directly to vessels for further transportation.
- (c) Any tank car of other than DOT-106A or 110A type (see §§179.300 and 179.301 of this subchapter), containing anhydrous ammonia, liquefied hydrocarbon or liquefied petroleum gas, and having interior pipes of liquid and gas discharge valves equipped with check valves, may be consigned for delivery and unloading on carrier tracks, if the lading is piped directly from the car to permanent storage tanks of sufficient capacity to receive the entire contents of the car. Such cars may also be consigned for storage on a private track or on a carrier track when designated by the carrier for such storage.
- (d) For cars of the DOT-106A or 110A type (see §§179.300 and 179.301 of this subchapter), the tanks must be placed in position and attached to the car structure by the shipper.
- (e) Class 3 materials with a flash point below 38 °C (100 °F) and Division 2.1 materials (including a cryogenic liquid) may not be loaded into tank cars on carrier property from tank trucks or drums.
- Note 1: For this purpose, a private track is a track outside of carrier's right-of-way, yard, and terminals, and of which the carrier does not own either the rails, ties, roadbed or right-of-way; or a track or portion of a track which is devoted to the purpose of its user, either by lease or written agreement; in which case the lease or written agreement will be considered as equivalent to ownership.
- Note 2: Carriers should give permission for the unloading of these containers on carrier tracks only where no private siding is available within reasonable trucking distance of final destination. The danger involved is the release of compressed gases due to accidental damage to container in handling. The exposure to this danger decreases directly with the isolation of the unloading point.

[29 FR 18773, Dec. 29, 1964. Redesignated at 32 FR 5606, Apr. 5, 1967, and by Amdt. 173–162, 48 FR 10226, Mar. 10, 1983, and amended by Amdt. 173–180, 49 FR 42735, Oct. 24, 1984; Amdt. 173–207, 53 FR 38274, Sept. 29, 1988; Amdt. 173–224, 55 FR 52608, Dec. 21, 1990; 56 FR 66265, Dec. 20, 1991; Amdt. 173–234, 58 FR 51532, Oct. 1, 1993; 67 FR 61013, Sept. 27, 2002]

§ 173.12 Exceptions for shipment of waste materials.

- (a) Open head drums. If a hazardous material that is a hazardous waste is required by this subchapter to be shipped in a closed head drum (i.e., a drum with a 7.0 cm (3 inches) or less bung opening) and the hazardous waste contains solids or semisolids that make its placement in a closed head drum impracticable, an equivalent (except for closure) open head drum may be used for the hazardous waste.
- (b) Lab packs. (1) Waste materials classed as Class or Division 3, 4.1, 4.2, 4.3, 5.1, 6.1, 8, or 9 are excepted from the specification packaging requirements of this subchapter for combination packagings if packaged in accordance with this paragraph and transported for disposal or recovery by highway, rail or cargo vessel only. In addition, a generic description from the §172.101 table may be used in place of specific chemical names, when two or more chemically compatible waste materials in the same hazard class are packaged in the same outside packaging.
- (2) Additional packaging requirements are as follows:
- (i) The outer packaging must be a UN 1A2 or UN 1B2 metal drum, a UN 1D plywood drum, a UN 1G fiber drum or a UN 1H2 plastic drum tested and marked at least for the Packing Group III performance level for liquids or solids;
- (ii) The inner packagings must be either glass, not exceeding 4 L (1 gallon) rated capacity, or metal or plastic, not exceeding 20 L (5.3 gallons) rated capacity;
- (iii) Each outer packaging may contain only one class of hazardous material;
- (iv) Inner packagings containing liquid must be surrounded by a chemically compatible absorbent material in sufficient quantity to absorb the total liquid contents; and
- (v) Gross weight of the complete package may not exceed 205 kg (452 lbs).
- (3) Prohibited materials. The following materials may not be packaged or described under the provisions of this paragraph (b): a material poisonous by inhalation, a Division 6.1 Packing Group I material, a Division 4.2 Packing Group I material, chloric acid and oleum (fuming sulfuric acid).
- (c) Reuse of packagings. A previously used packaging may be reused for the shipment of waste material transported for disposal or recovery, not subject to the reconditioning and reuse provisions contained in §173.28 and part 178 of this subchapter, under the following conditions:
- (1) Except as authorized by this paragraph, the waste must be packaged in accordance with this part and offered for transportation in accordance with the requirements of this subchapter.
- (2) Transportation is performed by highway only.
- (3) A package is not offered for transportation less than 24 hours after it is finally closed for transportation, and each package is inspected for leakage and is found to be free from leaks immediately prior to being offered for transportation.
- (4) Each package is loaded by the shipper and unloaded by the consignee, unless the motor carrier is a private or contract carrier.
- (5) The packaging may be used only once under this paragraph and may not be used again for shipment of hazardous materials except in accordance with §173.28.
- (d) *Technical names for n.o.s. descriptions*. The requirements for the inclusion of technical names for n.o.s. descriptions on shipping papers and package markings, §§172.203 and 172.301 of this subchapter, respectively, do not apply to packages prepared in accordance with paragraph (b) of this section, except that packages containing materials meeting the definition of a hazardous substance must be described as required in §172.203 of this subchapter and marked as required in §172.324 of this subchapter.
- (e) Exceptions from segregation requirements. (1) The provisions of §§174.81(c), 176.83(b) and 177.848(c) of this subchapter do not apply to waste cyanides or waste cyanide mixtures or solutions stored, loaded, or transported with acids in accordance with the

following:

- (i) The waste cyanides or waste cyanide mixtures or solutions must be packaged in lab packs in accordance with paragraph (b) of this section:
- (ii) The Class 8 acids must be packaged in lab packs in accordance with paragraph (b) of this section or in authorized single packagings not exceeding 208 L (55 gallons) capacity;
- (iii) Waste cyanides or waste cyanide mixtures may not exceed 2 kg (4.4 pounds) per inner receptacle and may not exceed 10 kg (22 pounds) per outer packaging; waste cyanide solutions may not exceed 2 L (0.6 gallon) per inner receptacle and may not exceed 10 L (3.0 gallons) per outer packaging.
- (iv) The waste cyanides or waste cyanide mixtures or solutions must be-
- (A) Separated from the acids by a minimum horizontal distance of 1.2 m (4 feet); and
- (B) Loaded at least 100 mm (4 inches) off the floor of the freight container, unit load device, transport vehicle or rail car.
- (2) The provisions of §§174.81(d), 176.83(b) and 177.848(d) of this subchapter do not apply to waste Division 4.2 materials stored, loaded or transported with Class 8 liquids in accordance with the following:
- (i) The waste Division 4.2 materials are packaged in lab packs in accordance with paragraph (b) of this section;
- (ii) The Class 8 liquids are packaged in lab packs in accordance with paragraph (b) of this section or in authorized single packagings not exceeding 208 L (55 gallons) capacity;
- (iii) The waste Division 4.2 materials may not exceed 2 kg (4.4 pounds) per inner receptacle and may not exceed 10 kg (22 pounds) per outer packaging;
- (iv) The waste Division 4.2 materials must be separated from the Class 8 liquids by a minimum horizontal distance of 1.2 m (4 feet);
- (v) The waste Division 4.2 materials and the Class 8 liquids are loaded at least 100 mm (4 inches) off the floor of the freight container, unit load device, transport vehicle or rail car.

[Amdt. 173–224, 55 FR 52609, Dec. 21, 1990, as amended at 56 FR 66265, Dec. 20, 1991; Amdt. 173–231, 57 FR 52939, Nov. 5, 1992; Amdt. 173–138, 59 FR 49133, Sept. 26, 1994; 65 FR 50460, Aug. 18, 2000; 65 FR 58629, Sept. 29, 2000; 68 FR 48569, Aug. 14, 2003; 70 FR 3309, Jan. 24, 2005]

§ 173.13 Exceptions for Class 3, Divisions 4.1, 4.2, 4.3, 5.1, 6.1, and Classes 8 and 9 materials.

- (a) A Class 3, 8 or 9, or Division 4.1, 4.2, 4.3, 5.1, or 6.1 material is excepted from the labeling (except for the CARGO AIRCRAFT ONLY label), placarding and segregation requirements of this subchapter if prepared for transportation in accordance with the requirements of this section. A material that meets the definition of a material poisonous by inhalation may not be offered for transportation or transported under provisions of this section.
- (b) A hazardous material conforming to the requirements of this section may be transported by motor vehicle and rail car. In addition, packages prepared in accordance with this section may be transported by aircraft under the following conditions:
- (1) Cargo-only aircraft. Only hazardous materials permitted to be transported aboard either a passenger or cargo-only aircraft by column (9A) or (9B) of the Hazardous Materials Table in §172.101 of this subchapter are authorized aboard cargo-only aircraft.
- (2) Passenger carrying aircraft. Only hazardous materials permitted to be transported aboard a passenger aircraft by column (9A) of aterials Table in §172.101 of this subchapter are authorized aboard passenger aircraft. The completed package,

assembled as for transportation, must be successfully tested in accordance with part 178 of this subchapter at the Packing Group I level. A hazardous material which meets the definition of a Division 5.1 (oxidizer) at the Packing Group I level in accordance with §173.127(b)(1)(i) of this subchapter may not be transported aboard a passenger aircraft.

- (3) Packages offered for transportation aboard either passenger or cargo-only aircraft must meet the requirements for transportation by aircraft specified in §173.27 of this subchapter.
- (c) A hazardous material permitted by paragraph (a) of this section must be packaged as follows:
- (1) For liquids:
- (i) The hazardous material must be placed in a tightly closed glass, plastic or metal inner packaging with a maximum capacity not exceeding 1.2 L. Sufficient outage must be provided such that the inner packaging will not become liquid full at 55 °C (130 °F). The net quantity (measured at 20 °C (68 °F)) of liquid in any inner packaging may not exceed 1 L. For transportation by aircraft, the net quantity in one package may not exceed the quantity specified in columns (9A) or (9B), as appropriate.
- (ii) The inner packaging must be placed in a hermetically sealed barrier bag which is impervious to the lading, and then wrapped in a non-reactive absorbent material in sufficient quantity to completely absorb the contents of the inner packaging, and placed in a snugly fitting, metal can.
- (iii) The metal can must be securely closed. For liquids that are in Division 4.2 or 4.3, the metal can must be hermetically sealed. For Division 4.2 materials in Packing Group I, the metal can must be tested in accordance with part 178 of this subchapter at the Packing Group I performance level.
- (iv) The metal can must be placed in a fiberboard box that is placed in a hermetically sealed barrier bag which is impervious to the lading.
- (v) The intermediate packaging must be placed inside a securely closed, outer packaging conforming to §173.201.
- (vi) Not more than four intermediate packagings are permitted in an outer packaging.
- (2) For solids:
- (i) The hazardous material must be placed in a tightly closed glass, plastic or metal inner packaging. The net quantity of material in any inner packaging may not exceed 2.85kg (6.25 pounds). For transportation by aircraft, the net quantity in one package may not exceed the quantity specified in columns (9A) or (9B), as appropriate.
- (ii) The inner packaging must be placed in a hermetically sealed barrier bag which is impervious to the lading.
- (iii) The barrier bag and its contents must be placed in a fiberboard box that is placed in a hermetically-sealed barrier bag which is impervious to the lading.
- (iv) The intermediate packaging must be placed inside an outer packaging conforming to §173.211.
- (v) Not more than four intermediate packagings are permitted in an outer packaging.
- (d) The outside of the package must be marked, in association with the proper shipping name, with the statement: "This package conforms to 49 CFR 173.13."
- [Amdt. 173–253, 61 FR 27173, May 30, 1996, as amended at 65 FR 50460, Aug. 18, 2000; 66 FR 45381, Aug. 28, 2001; 70 FR 3309, Jan. 24, 2005; 71 FR 54395, Sept. 14, 2006]